



Practitioner's Docket No. U 014917-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application
of _____ Inventor(s)

for _____ Title of invention

OR

In re application of: **Olle R. LARSEN, et al.**

Serial No.: **10/725,200**

Group No.:

Filed: **December 1, 2003**

Examiner:

For: **PROCESS AND SYSTEM FOR PRODUCTION OF A WARM FOAM MIX
ASPHALT COMPOSITION**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. 1.97(b))**

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

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37 C.F.R. 1.8(a)

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Date: January 13, 2004

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* *Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.*

NOTE: 37 C.F.R. 1.98(b):

- (1) *Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.*
- (2) *Each U.S. patent application published listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.*
- (3) *Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.*
- (4) *Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.*
- (5) *Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.*

WARNING:

No extension of time can be had under 37 C.F.R. § 1.36 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the national fee; (2) a copy of the international application, unless already sent by the International Bureau, and an English translation if filed in another language; (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. 37 C.F.R. 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. 1.97(b).

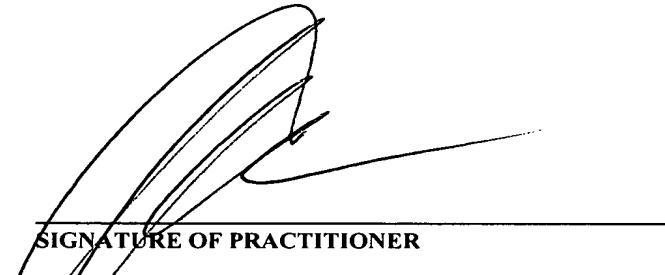
NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."

NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).



SIGNATURE OF PRACTITIONER

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Olle R. LARSEN, et al.**

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Attorney Docket No.: **U 014917-1**

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

We draw the attention of the Examiner to the references listed on the attached Form PTO-1449. Copies of the references are not required, except for DE 4308567; DE 2823388;

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

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"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

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January 13, 2004

FR 2553125; EP 0589740 and NO 305484, because they were already filed in a U.S. application from which an earlier filing date is claimed in this application.

Respectfully submitted,

Clifford J. Mass
Ladas & Parry
26 West 61st Street
New York, N.Y. 10023
Reg. No.: 30,086 (212) 708-1890

FORM PTO-1449	U. S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.	SERIAL NO.
		U 014917-1	10/725,200
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT	
(Use several sheets if necessary)		Olle R. LARSEN, et al.	
		FILING DATE	GROUP
		December 1, 2003	



REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	FILING DATE IF APPROPRIATE
AA	4,692,350	09/1987	Clarke et al.	
AB	4,714,629	12/1987	Davis et al.	
AC	4,932,785	06/1990	Bracegirdle	
AD	5,109,041	04/1992	Matsuno et al.	
AE	5,486,554	01/1996	Truax	
AF	5,605,570	02/1997	Bean	
AG	5,788,756	08/1998	Cheneviere et al.	
AH	5,827,360	10/1998	Salminen	
AI	5,910,212	06/1999	Hendriks et al.	
AJ	5,069,578	12/1991	Bense et al.	
AK				

FOREIGN PATENT DOCUMENTS

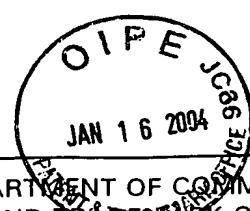
	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
				YES	NO
AL					
AM					
AN					
AO					
AP					

OTHER ART (Including Author, Title, Date, Pertinent Dates, Etc.)

AR	
AS	
AT	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449		U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.	SERIAL NO.
				U 014917-1	10/725, 200
				APPLICANT	
				Olle R. LARSEN, et al.	
				FILING DATE	GROUP
				December 1, 2003	

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS												
EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	4	2	5	6	7	3	4	3/1981	Smadja	427	139		
	AB	2	9	1	7	3	9	5	12/1959	Csanyi	106	122		
	AC	4	6	9	2	3	5	0	9/1987	Clarke et al.	427	138		
	AD													
	AE													
	AF													

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
														YES	NO
	AG	9	8	4	7	9	6	5	10/1998	WO				X	
	AH	9	7	2	0	8	9	0	6/1997	WO				X	
	AI	8	8	0	3	1	5	7	5/1988	WO				X	
	AJ	0	2	4	7	0	8	2	12/1987	EP				X	
	AK	4	3	0	8	5	6	7	8/1994	DE				X	
	AL	9	5	2	2	6	6	1	8/1995	WO				X	
	AM	2	5	5	3	1	2	5	4/1985	FR				X	
	AN	2	8	2	3	3	8	8	12/1979	DE				X	
	AO	0	5	8	9	7	4	0	3/1994	EP				X	
	AP	3	0	5	4	8	4	6	6/1999	NO				X	

OTHER ART (Including Author, Title, Date, Pertinent Dates, Etc.)

AR	English Translation of the Abstract of DE 4308567 dated August 25, 1994
AS	English Translation of the Abstract and Claims of EP 0589740 dated March 30, 1994
AT	

EXAMINER	DATE CONSIDERED
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